

Definition of the Co-occurring Disorders Population

**Prepared by
COSIG Clinical Protocol Committee
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Objective:

An individual with a co-occurring disorder (COD) has been generally defined by the presence of both a mental disorder and substance related disorder. A primary objective of the COSIG committees was to come to consensus on an operational definition of a co-occurring individual such that persons can be identified through a screening and assessment process and can be accurately counted by the information management systems in such a way that is consistent with the conceptual underpinnings of the COSIG curriculum and training.

Proposed Operational Definition of an Individual with Co-Occurring Disorders: (i.e. Broad Definition):

A person who meets the diagnostic criteria for a major Axis I Mental Disorder or Axis II Personality Disorder (List A) **AND** a major Substance-Related Disorder (List B) per the current version of the DSM. [List A and B are on pages 3 & 4]. Diagnoses of these disorders must occur simultaneously or within a one year time frame of each other. The figure below is a basic diagram of the COD definition, revealing that a portion of this population is accounted for by individuals who simultaneously present with both types of disorders and is also inclusive of individuals who present with both disorders in a relatively close proximity (one year).

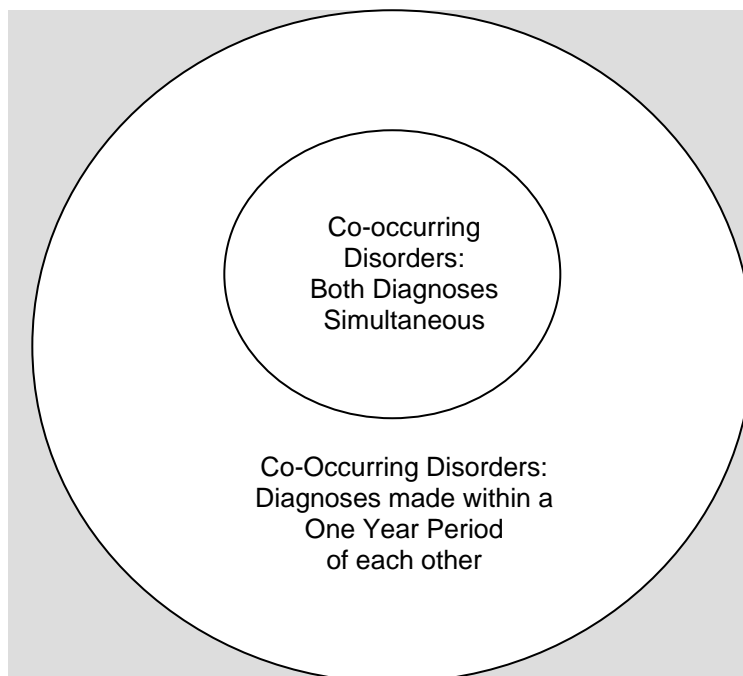
Qualifying conditions in rendering diagnoses:

(i) Substance Dependence Disorders that meet criteria for either early or sustained remission can be diagnosed and will be counted toward meeting the diagnostic parameters of the co-occurring disorders definition.

Note: Use of controlled environments, drug replacement therapies, or intensive therapies for abstinence maintenance (substance disorder remission) should **not** be counted in the time period for remission. Such instances should be diagnosed as an active disorder and will be counted toward meeting the diagnostic parameters of the co-occurring disorders definition.

(ii) Mental Health Disorders that are in remission due to the use of controlled environments, pharmacotherapy, or intensive psychosocial treatments should be diagnosed as the appropriate mental disorder that is in remission; and these disorders will be counted toward meeting the diagnostic parameters of the co-occurring disorders definition.

COD Population Figure



List A¹

Psychotic Disorders

- 295.10 - Schizophrenia, Disorganized type
- 295.20 - Schizophrenia, Catatonic type
- 295.30 - Schizophrenia, Paranoid type
- 295.60 - Schizophrenia, Residual type
- 295.70 - Schizoaffective Disorder
- 295.90 - Schizophrenia, Undifferentiated type
- 297.1 - Delusional Disorder
- 298.9 - Psychotic Disorder, NOS

Bipolar Disorders

- 296.00 – Bipolar Disorder, Single Manic Episode**
- 296.01 - Bipolar Disorder, Single Manic Episode, Mild
- 296.02 - Bipolar Disorder, Single Manic Episode, Moderate
- 296.03 - Bipolar Disorder, Single Manic Episode, Severe without Psychotic Features
- 296.04 - Bipolar Disorder, Single Manic Episode, Severe with Psychotic Features
- 296.05 - Bipolar Disorder, Single Manic Episode, In Partial Remission
- 296.06 - Bipolar Disorder, Single Manic Episode, In Full Remission

- 296.40 - Bipolar I Disorder, Most Recent Episode Manic**
- 296.41 - Bipolar I Disorder, Most Recent Episode Manic, Mild
- 296.42 - Bipolar I Disorder, Most Recent Episode Manic, Moderate
- 296.43 - Bipolar I Disorder, Most Recent Episode Manic, Severe without Psychotic Features
- 296.44 - Bipolar I Disorder, Most Recent Episode Manic, Severe with Psychotic Features
- 296.45 - Bipolar I Disorder, Most Recent Episode Manic, In Partial Remission
- 296.46 - Bipolar I Disorder, Most Recent Episode Manic, In Full Remission

- 296.50 - Bipolar I Disorder, Most Recent Episode Depressed**
- 296.51 - Bipolar I Disorder, Most Recent Episode Depressed, Mild
- 296.52 - Bipolar I Disorder, Most Recent Episode Depressed, Moderate
- 296.53 - Bipolar I Disorder, Most Recent Episode Depressed, Severe without Psychotic Features
- 296.54 - Bipolar I Disorder, Most Recent Episode Depressed, Severe with Psychotic Features
- 296.55 - Bipolar I Disorder, Most Recent Episode Depressed, In Partial Remission
- 296.56 - Bipolar I Disorder, Most Recent Episode Depressed, In Full Remission

- 296.60 - Bipolar I Disorder, Most Recent Episode Mixed**
- 296.61 - Bipolar I Disorder, Most Recent Episode Mixed, Mild
- 296.62 - Bipolar I Disorder, Most Recent Episode Mixed, Moderate
- 296.63 - Bipolar I Disorder, Most Recent Episode Mixed, Severe without Psychotic Features
- 296.64 - Bipolar I Disorder, Most Recent Episode Mixed, Severe with Psychotic Features
- 296.65 - Bipolar I Disorder, Most Recent Episode Mixed, In Partial Remission
- 296.66 - Bipolar I Disorder, Most Recent Episode Mixed, In Full Remission

- 296.7 - Bipolar I Disorder, Most Recent Episode Unspecified**
- 296.80 - Bipolar Disorder, NOS**
- 296.89 - Bipolar II Disorder (Recurrent Major Depressive Episodes with Hypomanic Episodes)**

List B¹

Alcohol-Related Disorders

- 303.90 - Alcohol Dependence
- 305.00 - Alcohol Abuse
- 303.00 - Alcohol Intoxication
- 291.81 - Alcohol Withdrawal
- 291.0 - Alcohol Intoxication Delirium
- 291.0 - Alcohol Withdrawal Delirium
- 291.5 - Alcohol Induced Psychotic Disorder, With Delusions
- 291.3 - Alcohol Induced Psychotic Disorder, With Hallucinations
- 291.89 - Alcohol Induced Mood Disorder
- 291.89 - Alcohol Induced Anxiety Disorder

Amphetamine-Related Disorders

- 304.40 - Amphetamine Dependence
- 305.70 - Amphetamine Abuse
- 292.89 - Amphetamine Intoxication
- 292.0 - Amphetamine Withdrawal
- 292.81 - Amphetamine Intoxication Delirium
- 292.11 - Amphetamine Induced Psychotic Disorder, With Delusions
- 292.12 - Amphetamine Induced Psychotic Disorder, With Hallucinations
- 292.84 - Amphetamine Induced Mood Disorder
- 292.89 - Amphetamine Induced Anxiety Disorder

Cannabis-Related Disorders

- 304.30 - Cannabis Dependence
- 305.20 - Cannabis Abuse
- 292.89 - Cannabis Intoxication
- 292.81 - Cannabis Intoxication Delirium
- 292.11 - Cannabis Induced Psychotic Disorder, With Delusions
- 292.12 - Cannabis Induced Psychotic Disorder, With Hallucinations
- 292.89 - Cannabis Induced Anxiety Disorder

Cocaine-Related Disorders

- 304.20 - Cocaine Dependence
- 305.60 - Cocaine Abuse
- 292.89 - Cocaine Intoxication
- 292.0 - Cocaine Withdrawal
- 292.81 - Cocaine Intoxication Delirium
- 292.11 - Cocaine Induced Psychotic Disorder, With Delusions
- 292.12 - Cocaine Induced Psychotic Disorder, With Hallucinations
- 292.84 - Cocaine Induced Mood Disorder
- 292.89 - Cocaine Induced Anxiety Disorder

List A

Major Depression

296.20 - Major Depressive Disorder, Single Episode
296.21 - Major Depressive Disorder, Single Episode, Mild
296.22 - Major Depressive Disorder, Single Episode, Moderate
296.23 - Major Depressive Disorder, Single Episode, Severe Without Psychotic Features
296.24 - Major Depressive Disorder, Single Episode, Severe With Psychotic Features
296.25 - Major Depressive Disorder, Single Episode, In Partial Remission
296.26 - Major Depressive Disorder, Single Episode, In Full Remission

296.30 - Major Depressive Disorder, Recurrent
296.31 - Major Depressive Disorder, Recurrent, Mild
296.32 - Major Depressive Disorder, Recurrent, Moderate
296.33 - Major Depressive Disorder, Recurrent, Severe Without Psychotic Features
296.34 - Major Depressive Disorder, Recurrent, Severe With Psychotic Features
296.35 - Major Depressive Disorder, Recurrent, In Partial Remission
296.36 - Major Depressive Disorder, Recurrent, In Full Remission

Other Mood Disorders

296.90 - Mood Disorders, NOS
301.13 - Cyclothymic Disorder, NOS
311 - Depressive Disorder, NOS
300.4 - Dysthymic Disorder

Anxiety Disorders

300.00 - Anxiety Disorder, NOS
300.01 - Panic Disorder Without Agoraphobia
300.02 - Generalized Anxiety Disorder
300.14 - Dissociative Identity Disorder
300.21 - Panic Disorder With Agoraphobia
300.22 - Agoraphobia Without History of Panic Disorder
309.81 - Posttraumatic Stress Disorder
300.3 - Obsessive-Compulsive Disorder

² Personality Disorders

301.00 - Paranoid Personality Disorder
301.20 - Schizoid Personality Disorder
301.22 - Schizotypal Personality Disorder
301.7 - Antisocial Personality Disorder
301.83 - Borderline Personality Disorder
301.50 - Histrionic Personality Disorder
301.81 - Narcissistic Personality Disorder
301.82 - Avoidant Personality Disorder
301.6 - Dependent Personality Disorder
301.4 - Obsessive-Compulsive Personality Disorder

List B

Hallucinogen-Related Disorders

304.50 - Hallucinogen Dependence
305.30 - Hallucinogen Abuse
292.89 - Hallucinogen Intoxication
292.89 - Hallucinogen Persisting Perception Disorder
292.81 - Hallucinogen Intoxication Delirium
292.11 - Hallucinogen Induced Psychotic Disorder, With Delusions
292.12 - Hallucinogen Induced Psychotic Disorder, With Hallucinations
292.84 - Hallucinogen Induced Mood Disorder
292.89 - Hallucinogen Induced Anxiety Disorder

Inhalant-Related Disorders

304.60 - Inhalant Dependence
305.90 - Inhalant Abuse
292.89 - Inhalant Intoxication
292.81 - Inhalant Intoxication Delirium
292.11 - Inhalant Induced Psychotic Disorder, With Delusions
292.12 - Inhalant Induced Psychotic Disorder, With Hallucinations
292.84 - Inhalant Induced Mood Disorder
292.89 - Inhalant Induced Anxiety Disorder

Opioid-Related Disorders

304.00 - Opioid Dependence
305.50 - Opioid Abuse
292.89 - Opioid Intoxication
292.0 - Opioid Withdrawal
292.81 - Opioid Intoxication Delirium
292.11 - Opioid Induced Psychotic Disorder, With Delusions
292.12 - Opioid Induced Psychotic Disorder, With Hallucinations
292.84 - Opioid Induced Mood Disorder

Phencyclidine-Related Disorders

304.60 - Phencyclidine Dependence
305.90 - Phencyclidine Abuse
292.89 - Phencyclidine Intoxication
292.81 - Phencyclidine Intoxication Delirium
292.11 - Phencyclidine Induced Psychotic Disorder, With Delusions
292.12 - Phencyclidine Induced Psychotic Disorder, With Hallucinations
292.84 - Phencyclidine Induced Mood Disorder
292.89 - Phencyclidine Induced Anxiety Disorder

Sedative-, Hypnotic-, or Anxiolytic-Related Disorders

304.10 - Sedative-, Hypnotic-, or Anxiolytic- Dependence
305.40 - Sedative-, Hypnotic-, or Anxiolytic- Abuse
292.89 - Sedative-, Hypnotic-, or Anxiolytic- Intoxication
292.0 - Sedative-, Hypnotic-, or Anxiolytic- Withdrawal
292.81 - Sedative-, Hypnotic-, or Anxiolytic-Intoxication Delirium
292.11 - Sedative-, Hypnotic-, or Anxiolytic- Induced Psychotic Disorder, With Delusions
292.12 - Sedative-, Hypnotic-, or Anxiolytic- Induced Psychotic Disorder, With Hallucinations
292.84 - Sedative-, Hypnotic-, or Anxiolytic- Induced Mood Disorder
292.89 - Sedative-, Hypnotic-, or Anxiolytic- Induced Anxiety Disorder

Qualifying Statements and Disclaimers regarding the COD Definition:

¹ The lists of accepted diagnostic codes should in no way restrict diagnostic staff from using the full array of diagnostic codes that are available in the DSM-IV-TR to adequately identify and characterize the nature of the individual's behavioral health concerns. These listings were compiled to have an accurate and simple mechanism to arrange the DSM-IV disorders which comprise the target populations of OMH and OAD and consequently define the combinations of disorders that reflect the Co-occurring Disorders population.

² Although the developers of the COD definition believed from a conceptual standpoint that Personality Disorders should be included in the set of diagnostic codes within this definition, these added diagnostic codes posed some practical concerns as outlined below.

- Current as well as proposed screening and assessment procedures have not included a mechanism to accurately identify Personality Disorders.
- Current as well as proposed definitions for the target populations for the Office of Mental Health and the Office of Addictive Disorders do not include the array of Personality Disorders.
- While it is recognized that there is a need to address the gap in assessing Personality Disorders, this advancement will be deferred in that the primary initiative relative to diagnosing co-occurring disorders will target the accurate identification of the Major Mental Disorders of Axis I and Substance-Related Disorders, i.e. the primary target population of each Office.
- Given the indicated gaps in diagnostics, it is anticipated that the observed number of persons with co-occurring personality disorders and substance related disorders will be a significant underestimate of this actual number of this sub-population of persons with co-occurring disorders.
- Given the current barriers in providing accurate counts of persons with co-occurring personality and substance-related disorders, this sub-population of COD persons will be analyzed separately from the general population of persons with co-occurring mental disorders and substance-related disorders. These disclaimers regarding the inclusion of persons with co-occurring personality and substance-related disorders need to be forwarded with the "official COD statistics" reported to the Federal Grantors (SAMHSA).